## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Joseph Earl FORD et al.

Serial No.:

09/625,526

Filed: July 26, 2000

For Me

Method and Apparatus for Spatial-Shift

Wavelength Multiplexing in Communication

Systems

Examiner: Valencia, Daniel E. Group Art: 2874

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, an September 18, 2003

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Signature

September 18, 2003 Date of Signature

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## INFORMATION DISCLOSURE STATEMENT

SIR:

In compliance with the duty of disclosure under 37 C.F.R. §1.56 and in accordance with the practice under 37 C.F.R. §\$1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO 1449. Copies of the listed documents are also enclosed

This information is being submitted subsequent to the later of three months after the filing date of the present application or the mailing of the first Office Action on the merits, but before the mailing of a final Action or the Notice of Allowance.

In accordance with 37 C.F.R §§1.97(g) and (h), the filing of this Information

Disclosure Statement should not be construed as a representation that a search has been made or

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that information cited is, or is considered to be, material to patentability as defined in §1.56(b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserve(s) the right to prove that the date of publication is in fact different.

A check in the amount of \$180.00 in payment of the appropriate fee is enclosed.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO/SB/08a be returned indicating that such information has been considered.

Respectfully submitted, COHEN, PONTANI, LIEBERMAN & PAVANE

By:

Lance J. Lieberman Reg. No. 28,437

551 Fifth Avenue, Suite 1210 New York, New York 10176

(212) 687-2770

Dated: September 18, 2003

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Substitute for Form 1449/PTO Complete if Known Application Number 09/625,526 Filing Date July 26, 2000 NFORMATION DISCLOSURE First Named Inventor Joseph Earl FORD TEMENT BY APPLICANT Art Unit 2874 (Use as many sheets as necessary) Examiner Name Valencia, Daniel E. 1 1 Attorney Docket Number 4167-238

			U.S. PA	TENT DOCUMENTS		
Examiner Initials*	Cite No. 1	Document Number	Publication Date - MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind-Code 2 (if				
	AA	US-4,747,655	5/1998	Shirasaki		
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FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T.e			
		Country Code <sup>3</sup> Number <sup>4</sup> Kind-Code <sup>5</sup> (if known)							
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Examiner Signature	Date Considered		
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto gov or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Vitin of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 possible: \*Applicant is to place a check mark here if English language Translation is attached.